

FORM PTO 1390
(REV. 5-93)

US DEPARTMENT OF COMMERCE - PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2004_1553ATRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.
(if known, see 37 CFR 1.3)
NEWInternational Application No.
PCT/JP03/03884International Filing Date
March 27, 2003Priority Date Claimed
March 29, 2002Title of Invention
METHOD FOR PRODUCING SINGLE-WALLED CARBON NANOTUBES

Applicant(s) For DO/EO/US

Sumio IIJIMA, Masako YUDASAKA, and Hiroo HONGO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19.
9. An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT G**
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

ATTACHMENT H

13. A **FIRST** preliminary amendment.
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. Other items or information:
C. Letter Re: Correction of Inventor's Name **ATTACHMENT I**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NO.
NEW	PCT/JP03/03884	2004_1553A
15. [X] The following fees are submitted		CALCULATIONS PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):		
Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00		
International Search Report has been prepared by the EPO or JPO \$ 950.00		
International preliminary examination fee not paid to USPTO but international search paid to USPTO \$ 790.00		
International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00		
International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		
Claims	Number Filed	Number Extra
Total Claims	-20 =	X \$18.00
Independent Claims	- 3 =	X \$88.00
Multiple dependent claim(s) (if applicable)		+ \$300.00
TOTAL OF ABOVE CALCULATIONS =		
[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.		
SUBTOTAL =		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [X] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		
TOTAL NATIONAL FEE =		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00		
TOTAL FEES ENCLOSED =		
12/14/2004 SNAJARRO 00000066 10509575 01 FC:1617 130.00 DP		Amount to be refunded \$ Amount to be charged \$
a. [X] A check in the amount of \$170.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.		
b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.		
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> .		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
19. CORRESPONDENCE ADDRESS		By: <u>Matthew M. Jacob</u> Matthew M. Jacob, Registration No. 25,154
CUSTOMER NO. 000513		WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250
December 8, 2004		

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
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[CHECK NO. 105623]
[2004_1553A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Sumio IIJIMA et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2004_1553A

Filed September 29, 2004

METHOD FOR PRODUCING SINGLE-
WALLED CARBON NANOTUBES
[Corresponding to PCT/JP03/03884
Filed March 27, 2003]

LETTER RE: CORRECTION OF INVENTOR'S NAME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attention is directed to the fact that third named inventor has been changed from "Hiroo HONGOH" to -Hiroo HONGO- in the Declaration and Assignment.

The different spellings, when pronounced in English, sound essentially the same, however, the corrected name results from a more accurate translation.

Respectfully submitted,

THE COMMISSIONER IS AUTHORIZED
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FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sumio IIJIMA et al.

By Matthew M. Jacob
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December 8, 2004

ATTACHMENT I